## **State Banking Authorities**

The officials listed below regulate and supervise state-chartered banks. Many of them handle or refer problems and complaints about other types of financial institutions as well. Some also answer general questions about banking and con-

sumer credit. If you are dealing with a federally chartered bank, check the listing of "Selected Federal Agencies," beginning on page 107. Also see the information in "Selecting a Financial Institution" on page 18.

#### **Alabama**

Kenneth R. McCartha Superintendent of Banks 101 South Union Street **Montgomery**, AL 36130 334–242–3452

#### Alaska

Willis F. Kirkpatrick Director of Banking, Securities and Corporations P.O. Box 110807 Juneau, AK 99811–0807 907–465–2521 TDD: 907–465–5437

#### Arizona

Fax: 907-465-2549

Richard C. Houseworth Superintendent of Banks Arizona State Banking Dept. 2910 North 44th Street Suite 310 **Phoenix**, AZ 85018 602–255–4421 Toll free in AZ: 800–544–0708

#### **Arkansas**

Bill J. Ford Bank Commissioner Tower Building 323 Center Street, Suite 500 Little Rock, AR 72201–2613 501–324–9019 Fax: 501–324–9028

## California

Conrad W. Hewitt

Superintendent of Banks
111 Pine Street, Suite 1100
San Francisco, CA
94111–5613
415–263–8501
Toll free in CA: 800–622–0620
For consumer complaints against CA state–licensed banks, the "800" number reaches the Consumer Services Office, located in Sacramento, CA.

#### Colorado

Barbara M.A. Walker State Bank Commissioner Division of Banking 1560 Broadway St., Suite 1175 **Denver**, CO 80202 303–894–7575

#### Connecticut

John Burke Banking Commissioner 260 Constitution Plaza **Hartford,** CT 06103 860-240-8299 Toll free in CT: 800-831-7225

#### **Delaware**

Timothy R. McTaggart State Bank Commissioner 555 E. Lockerman Street Suite 210 **Dover**, DE 19901 302–739–4235 Toll free: 800–638–3376 (complaints DE only) Fax: 302–739–2356

#### **District of Columbia**

Anthony Romero
Superintendent of Banking and
Financial Institutions
717 14th Street, N.W.
11th Floor
Washington, DC 20005

#### Florida

202-727-1563

Robert F. Milligan State Comptroller State Capitol **Tallahassee**, FL 32399–0350 904–488–6311 Toll free in FL: 800–848–3792

## Georgia

Edward D. Dunn Commissioner Banking and Finance 2990 Brandywine Road Suite 200 **Atlanta**, GA 30341–5565 404–986–1633

#### Guam

Joaquin Blaz Director Department of Revenue and Taxation 855 West Marine Drive **Agana**, GU 96910 011–671–477–5107

#### Hawaii

Lynn Y. Wakatsuki, Commissioner Financial Institutions P.O. Box 2054 **Honolulu**, HI 96805 808–586–2820

#### Idaho

Fax: 808-586-2818

Gavin M. Gee, Acting Director Department of Finance 700 West State Street, 2nd Floor **Boise**, ID 83720–0031 208–334–3313 Fax: 208–334–2216

#### Illinois

Richard Luft Commissioner of Banks and Trust Companies 500 E. Monroe Street **Springfield**, IL 62701 217–785–2837

TDD: 217-524-6644

#### Indiana Charles W. Phillips, Director

Department of Financial Institutions 402 W. Washington Street Room W066 Indianapolis, IN 46204–2759 317–232–3955 Toll free in IN: 800–382–4880

## Iowa

Michael Guttau Superintendent of Banking 200 East Grand, Suite 300 **Des Moines**, IA 50309 515–281–4014

#### Kansas

W. Newton Male State Bank Commissioner 700 Jackson Street, Suite 300 **Topeka**, KS 66603–3714 913–296–2266 Fax: 913–296–0168

#### Kentucky

Larry D. Lander Commissioner Department of Financial Institutions 477 Versailles Road **Frankfort**, KY 40601 502–573–3390

#### Louisiana

Larry L. Murray, Commissioner Financial Institutions P.O. Box 94095 **Baton Rouge**, LA 70804–9095 504–925–4660

#### Maine

H. Donald DeMatteis Superintendent of Banking 36 State House Station **Augusta**, ME 04333–0036 207–624–8570 **TDD: 207–624–8563** 

**TDD: 207–624–856:** Fax: 207–624–8590

## Maryland

H. Robert Hergenroeder, Jr. Commissioner of Financial Regulation 501 St. Paul Place, 13th Floor **Baltimore**, MD 21202 410–333–6812

#### Massachusetts

Thomas J. Curry Commissioner of Banks 100 Cambridge Street **Boston**, MA 02202 617–727–3145 **TDD:** 617–727–7625

**TDD: 617–727–7625** Toll free: 800–495–2265

## Michigan

Patrick McQueen, Commissioner Financial Institutions Bureau P.O. Box 30224 Lansing, MI 48909 517–373–3460 Fax: 517–335–1109

## Minnesota

Fax: 517-335-0908

David B. Gruenes Commissioner of Commerce 133 East 7th Street St. Paul, MN 55101 612–296–2135 Fax: 612–296–8591

## Mississippi

John S. Allison
Acting Commissioner
Department of Banking and
Consumer Finance
P.O. Box 23729

Jackson, MS 39225–3729
601–359–1031
Toll free in MS: 800–844–2499

#### Missouri

Earl L. Manning Commissioner of Finance P.O. Box 716 Jefferson City, MO 65102

573-751-3242 Toll free in MO: 800-722-3321

TDD toll free: 800-735-2966 Fax: 573-751-9192

#### Montana

Donald W. Hutchinson Commissioner Financial Institutions 846 Front Street P.O. Box 200546 Helena, MT 59620-0546 406-444-2091 Fax: 406-444-4186

#### Nebraska

James A. Hansen Director of Banking and Finance 1200 N Street, Suite 311 Lincoln, NE 68508 402-471-2171

#### Nevada

L. Scott Walshaw Commissioner **Financial Institutions** 406 East Second Street Carson City, NV 89710 702-687-4260 Fax: 702-687-6909

## **New Hampshire**

A. Roland Roberge Bank Commissioner 169 Manchester Street Concord, NH 03301 603-271-3561 Fax: 603-271-1090

## **New Jersey**

Elizabeth Randall Commissioner Departments of Banking and Insurance 20 West State Street CN-325 Trenton, NJ 08625 609-292-3420

## **New Mexico**

Fax: 505-827-7107

William J. Verant Director Financial Institutions Division P.O. Box 25101 Santa Fe, NM 87504 505-827-7100

#### **New York**

Neil D. Levin Superintendent of Banks Two Rector Street New York, NY 10006-1894 212-618-6553 Toll free in NY: 800-522-3330 (general consumer information) Toll free in NY: 800-832-1838 (small business information)

## **North Carolina**

Hal D. Lingerfelt Commissioner of Banks P.O. Box 29512 Raleigh, NC 27626-0512 919-733-3016

#### North Dakota

Gary D. Preszler Commissioner of Banking and **Financial Institutions** 2900 North 19th Street, #3 Bismarck, ND 58501-5305 701-328-9933

TDD toll free: 800-366-6888

Fax: 701-328-9955

#### Ohio

W. Curtis Stitt Superintendent Division of Financial Institutions 77 South High Street, 21st Floor Columbus, OH 43266-0121 614-466-2932 Fax: 614-644-1631

## Oklahoma

Mick Thompson Bank Commissioner 4545 North Lincoln Boulevard Suite 164

Oklahoma City, OK 73105 405-521-2783

Fax: 405-525-9701

#### Oregon

Cecil R. Monroe Administrator Division of Finance and Corporate Securities 350 Winter Street, N.E. Room 21 Salem, OR 97310 503-378-4140 TDD: 503-378-4387

Fax: 503-378-4178

## Pennsylvania

Richard C. Rishel Secretary of Banking 333 Market Street, 16th Floor **Harrisburg**, PA 17101–2290 717-787-6991 Toll free in PA: 800-PA-BANKS

TDD toll free: 800-679-5070

Fax: 717-787-8773

#### **Puerto Rico**

Joseph P. O'nell Commissioner of Financial Institutions 1492 Ponce de Leon Avenue Suite 600 **San Juan**, PR 00907–4192 787-723-3131

#### Rhode Island

Edward D. Pare, Jr. Associate Director and Superintendent of Banking 233 Richmond Street Suite 231

Providence, RI 02903-4231 401-277-2405

TDD: 401-277-2223 Fax: 401-331-9123

#### South Carolina

Louie A. Jacobs Commissioner of Banking 1015 Sumter Street, Room 309 Columbia, SC 29201 803-734-2001 Fax: 803-734-2013

#### South Dakota

Richard A. Duncan Director of Banking State Capitol Building 500 East Capitol Avenue **Pierre**, SD 57501-5070 605-773-3421 Fax: 605-773-5367

## **Tennessee**

Bill Houston Commissioner Financial Institutions John Sevier Building 500 Charlotte Avenue, 4th Floor Nashville, TN 37243-0705 615-741-2236 Fax: 615-741-2883

#### Texas

Catherine A. Ghiglieri Banking Commissioner 2601 North Lamar Austin, TX 78705 512-475-1300

## Utah G. Edward Leary

Commissioner Financial Institutions P.O. Box 89 Salt Lake City, UT 84110-0089 801-538-8830

#### Vermont

Elizabeth Costle Commissioner Banking, Insurance and Securities 89 Main Street, 2nd Floor Montpelier, VT 05620-3101 802-828-3307

## Virgin Islands

Kenneth E. Mapp Lieutenant Governor Commissioner of Banking and Insurance 18 Kongens Gade Charlotte Amalie St. Thomas, VI 00801 809-774-2991

## Virginia

Sidney A. Bailey Commissioner Financial Institutions 1300 E. Main Street Suite 800 P.O. Box 640 Richmond, VA 23218-0640 804-371-9657 TDD: 804-371-9206 Toll free in VA: 800-552-7945

## Washington

John L. Bley Supervisor of Banking P.O. Box 41023 **Olympia**, WA 98504-1203 360-753-6520 Toll free: 800-372-8303

## West Virginia

Sharon G. Bias Commissioner of Banking State Capitol Complex Building 3, Room 311 1900 Kanawha Blvd., East Charleston, WV 25305-0240 304-558-2294 Toll free in WV: 800-642-9056

## Wisconsin

Richard L. Dean, Secretary Department of Financial Institutions 101 E. Wilson, 5th Floor P.O. Box 7876 Madison, WI 53707-7876 608-261-9555 Toll free in WI: 800-452-3328 (consumer inquiries)

#### Wyoming

L. Bruce Hendrickson Commissioner Division of Banking Herschler Building 3rd Floor, East Cheyenne, WY 82002 307-777-7797

## **State Insurance Regulators**

Each state has its own laws and regulations for all types of insurance, including car, homeowner and health insurance. The officials listed below enforce these laws. Many of these offices can provide you with information to help you make informed insurance buying decisions.

Your local library also has information that can help you compare insurance companies before making a purchase.

If you have a question or complaint about your insurance company's policies, contact the company before you contact the state insurance regulator.

#### **Alabama**

Michael DeBellis **Acting Commissioner** Department of Insurance 135 South Union Street, #200 Montgomery, AL 36130

334-269-3550 Fax: 334-241-4192

#### Alaska

Marianne K. Burke Director Department of Commerce and **Economic Development** Division of Insurance P.O. Box 110805 Juneau, AK 99811-0805 907-465-2515

Thelma Walker **Drputy Director** Division of Insurance 3601 C Street Suite 1324

Anchorage, AK 99503-5948 907-269-7900

#### **American Samoa**

Albert Atuatsi Insurance Commissioner Office of the Governor Pago Page, AS 96799 011-684-633-4116

#### Arizona

John King, Director Department of Insurance 2910 North 44th Street Suite 210

Phoenix, AZ 85018-7256 602-912-8444

## **Arkansas**

Lee Douglas Commissioner Department of Insurance 1200 West 3rd Street Little Rock, AR 72201-1904 501-371-2600 Toll free: 800-852-5494

## California

Charles Quackenbush Commissioner Department of Insurance 300 Capitol Mall Suite 1500 Sacramento, CA 95814 916-445-5544

Toll free in CA: 800-927-4357

## Colorado

Jack Ehnes Commissioner 1560 Broadway Suite 850 **Denver**, CO 80202 303-894-7499, ext. 400

## Connecticut

George M. Reider, Jr. Commissioner Department of Insurance P. O. Box 816 Hartford, CT 06142-0816 860-297-3800

#### Delaware

Donna Lee H. Williams Commissioner Department of Insurance 841 Silver Lake Blvd. P.O. Box 7007

Dover, DE 19903-1507 302-739-4251

Toll free in DE: 800-282-8611

#### **District of Columbia**

Patrick Kelly **Acting Commissioner** Insurance Administration 441 4th Street, N.W. 8th Floor North Washington, DC 20001 202-727-8000, ext. 3007

#### Florida

Bill Nelson Commissioner Department of Insurance State Capitol Plaza Level Eleven Tallahassee, FL 32399-0300 904-922-3130 Toll free in FL: 800-342-2762

## Georgia

John Oxendine Commissioner Department of Insurance 2 Martin L. King, Jr. Drive Atlanta, GA 30334 404-656-2070

#### Guam

Joseph T. Duenas Commissioner Department of Revenue and Taxation Government of Guam 378 Chalan San Antonio Tamuning, Guam 96911 011-671-445-5000

#### Hawaii

Wayne C. Metcalf Commissioner Insurance division Department of Commerce and Consumer Affairs 250 S. King Street 5th Floor Honolulu, HI 46813-3614

808-586-2790

Toll free: 800-586-2790

#### Idaho

James Alcorn Director Department of Insurance 700 W. State Street Boise, ID 83720 208-334-4320 Toll free in ID: 800-721-3272

#### Illinois

Mark Boozell Director Department of Insurance 320 West Washington Street Springfield, IL 62767 217-782-4515

100 West Randolph Street Suite 15-100 Chicago, IL 60601 312-814-2420

## Indiana

Marjorie Maginn Commissioner Department of Insurance 311 West Washington Street Indianapolis, IN 46204-2787 317-232-2395

Toll free in IN: 800-622-4461

#### lowa

Theresa Vaughan Commissioner Division of Insurance Lucas State Office Building 6th Floor Des Moines, IA 50319 515-281-5705

#### Kansas

Kathleen Sebelius Commissioner Department of Insurance 420 S.W. 9th Street **Topeka**, KS 66612 913-296-7829 Toll free in KS: 800-432-2484

## Kentucky

George Nicoles Commissioner Department of Insurance 215 West Main Street Frankfort, KY 40601 502-564-6088

#### Louisiana

James H. "Jim" Brown, Jr. Commissioner Department of Insurance P.O. Box 94214 Baton Route, LA 70801-9214 504-342-1259

#### Maine

Brian Atchinson Superintendent Bureau of Insurance State House Station 34 Augusta, ME 04333-0034 207-624-8475 Toll free in ME: 800-300-5000

## Maryland

Dwight K. Bartlett, III Commissioner Insurance Administration 501 St. Paul Place 7th Floor Baltimore, MD 21202 410-333-1782

Toll free in MD: 800-492-6116

#### Massachusetts

Linda Ruthardt Commissioner Division of Insurance 470 Atlantic Avenue 6th Floor **Boston**, MA 02210–2223 617–521–7777

#### Michigan

D. Joseph Olson Commissioner Insurance Bureau 611 West Ottawa Street 2nd Floor North **Lansing**, MI 48933 517–373–0240

#### Minnesota

David B. Gruenes Commissioner Department of Commerce 133 East 7th Street **St. Paul**, MN 55101 612–296–2488

## Mississippi

George Dale
Commissioner
Department of Insurance
1804 Walter Sillers Building
Jackson, MS 39205
601–359–3569
Toll free in MS: 800–562–2957

#### Missouri

Jay Angoff
Director
Department of Insurance
301 West High Street
6 North
Jefferson City, MO
65102–0690
573–751–2640
Toll free in MO: 800–726–7390

#### Montana

Mark O'Keefe Commissioner Department of Insurance 126 North Sanders Mitchell Building Room 270 **Helena**, MT 59601 406-444-2040 Toll free in MT: 800-332-6148

#### Nebraska

Robert Lange Director Department of Insurance 941 O Street Suite 400 Lincoln, NE 68508 402-471-2201

#### Nevada

Alice Molasky Commissioner Division of Insurance 1665 Hot Springs Road, #152 Carson City, NV 89710 702–687–4270 Toll free in NV: 800–992–0900

## **New Hampshire**

Charles Blossom Commissioner Department of Insurance 169 Manchester Street **Concord**, NH 03301–5151 603–271–2261

## **New Jersey**

Elizabeth E. Randall Commissioner Department of Insurance 20 West State Street CN329 Trenton, NJ 08625

**Trenton**, NJ 08625 609–984–2444

## **New Mexico**

Christopher P. Krahling Superintendent Department of Insurance P.O. Drawer 1269 **Santa Fe**, NM 87504–1269 505–827–4698 Toll free in NM: 800–947–4722

#### **New York**

Edward Muhl Superintendent Department of Insurance 160 West Broadway **New York**, NY 10013–3393 212–602–2488 Toll free in NY: 800–342–3736

Agency Building One Empire State Plaza **Albany**, NY 12257 518–474–6600

## **North Carolina**

James E. Long Commissioner Department of Insurance Dobbs Building 430 North Salisbury Street P.O. Box 26387 **Raleigh,** NC 27611 919–733–2004 Toll free in NC: 800–662–7777

#### North Dakota

Glenn R. Pomeroy Commissioner Department of Insurance 600 East Boulevard Avenue **Bismarck**, ND 58505–0320 701–328–2440 Toll free in ND: 800–247–0560

#### Ohio

Harold T. Duryee Director Department of Insurance 2100 Stella Court **Columbus**, OH 43215–1067 614–644–2658 Toll free: 800–522–0071

#### Oklahoma

John Crawford Commissioner Department of Insurance 3814 Santa Fe **Oklahoma City,** OK 73118 405–521–2991 Toll free in OK: 800–522–0071

## Oregon

Kerry Barnett
Director
Department of Consumer and
Business Services
350 Winter Street, N.E.
Room 200
Salem, OR 97310–0700
503–378–4636

## Pennsylvania

Linda S. Kaiser
Commissioner
Insurance Department
1326 Strawberry Square, 13th
Floor
Harrisburg, PA 17120

717–787–2317

## **Puerto Rico**

Juan Antonio Garcia Commissioner Office of the Commissioner of Insurance Fernandez Juncos Station 1607 Ponce de Leon Avenue **Santurce**, PR 00910 809–722–8686

#### Rhode Island

Alfonso E. Mastrostefano Commissioner Insurance Division 233 Richmond Street **Providence**, RI 02903–4233 401–277–2223

#### South Carolina

Lee P. Jedziniak Director Department of Insurance 1612 Marion Street P.O. Box 100105 **Columbia**, SC 24201 803–737–6150 Toll free in SC: 800–768–3467

#### South Dakota

Darla L. Lyon
Director
Division of Insurance
Department of Commerce
and Regulation
500 E. Capitol
Pierre, SD 57501–3940
605–773–3563

#### Tennessee

Doug Sizemore
Commissioner
Department of Commerce
and Insurance
500 James Robertson Parkway
Nashville, TN 37243-0565
615-741-2218
Toll free in TN: 800-342-4029

#### Texas

Elton Bomer Commissioner Department of Insurance 333 Guadalupe Street P.O. Box 149104 **Austin**, TX 78714–9104 512–463–6464 Toll free: 800–252–3439

#### Utah

Robert E. Wilcox Commissioner Department of Insurance 3110 State Office Building **Salt Lake City**, UT 84114 801–538–3805 Toll free in UT: 800–439–3805

## Vermont

Elizabeth R. Costle Commissioner Department of Banking, Insurance and Securities Health Care Administration 89 Main Street, Drawer 20 **Montpelier**, VT 05620–3101 802–828–4884

### Virgin Islands

Gwendolyn Brady Director Division of Banking and Insurance Lt. Governor's Office Kongens Gade 18 St. Thomas, VI 00802

809-774-7166

## **Virginia**

Alfred W. Gross Commissioner State Corporation Commission Bureau of Insurance 1300 East Main Street **Richmond**, VA 23219 804–371–9694 Toll free in VA: 800–552–7945

# **Washington**Deborah Senn

Commissioner

Insurance Building-Capitol Campus 14th Avenue and Water Street P.O. Box 40255 **Olympia**, WA 98504–0255 360–753–3613

## West Virginia

Henry C. Clark Commissioner Department of Insurance 2019 Washington Street, East P.O. Box 50540 **Charleston**, WV 25305–0540 304–558–3856 Toll free in WV: 800–642–9004

#### Wisconsin

Josephine W. Musser

Commissioner
Office of the Commissioner of
Insurance
121 E. Wilson
Madison, WI 53702
608–266–0103
Toll free in WI: 800–236–8517

Wyoming

John McBride
Commissioner
Department of Insurance
Herschler Building
122 West 25th Street
Cheyenne, WY 82002–0440
307–777–7402
Toll free in WY: 800–438–5768

## **State Securities Administrators**

Each state has its own laws and regulations for securities brokers and for all types of securities, including stocks, mutual funds, commodities, real estate offerings, uninsured investment products sold by banks and others. The officials and agencies listed below enforce these laws and regulations. Many of these offices can provide you with information to help you make informed investment decisions.

State securities agencies are responsible also for preventing fraud and abuse in the sale of all but the largest securities offerings.

If you have a question or complaint about an investment you have made or are about to make, call the company or bank involved. If your complaint or question is not resolved, call the appropriate state securities agency below.

# **Alabama**Joseph P. Borg

Director

Securities Commission 770 Washington Street, Suite 570 Montgomery, AL 36130–4700 334–242–2984 Fax:334–242–0240

Toll free in AL: 800-222-1253

## Alaska

L.P. Carroll
Senior Examiner, State of
Alaska
Department of Commerce
and Economic Development
Banking and Securities
P.O. Box 110807
Juneau, AK 99811–0807
907–465–2521
Fax: 907–465–2549

#### **Arizona**

Duty Investigator Corporation Commission Securities Division 1300 West Washington, 3rd Floor **Phoenix**, AZ 85007 602–542–4242 Fax: 602–594–7470

#### **Arkansas**

Mac Dodson Commissioner Securities Department Heritage West Building 201 East Markham, 3rd Floor **Little Rock**, AR 72201–1692 501–324–9260 Fax: 501–324–9268

#### California

Commissioner
Department of Corporations
3700 Wilshire Boulevard
Suite 600

**Los Angeles**, CA 90010-3001 213-736-2741 Fax: 213-736-2117

#### Colorado

Philip A. Feigin
Securities Commissioner
Division of securities
1580 Lincoln, Suite 420
Denver, CO 80203–1506
303–894–2320
Fax: 303–861–2126
TDD: 303–894–7880

## Connecticut

John Burke Banking Commissioner Department of Banking 260 Constitution Plaza Hartford, CT 06103 860-240-8299 Fax: 860-240-8178

#### Delaware

Richard W. Hubbard
Securities Commissioner
Department of Justice
Division of Securities
State Office Building
820 N. French Street, 8th Floor
Wilmington, DE 19801
302–577–2515
Fax: 302–655–0576

## **District of Columbia**

Veda M. Shamsid-Deen Acting Director D.C. Public Service Commission Office of Securities 450 5th Street, N.W., Suite 821 **Washington**, DC 20001 202-626-5105

Fax: 202–737–2080

#### Florida

Robert F. Milligan Comptroller Division of Securities The Capitol LL-22 Tallahassee, FL 32399-0350 904-488-9805 Fax: 904-681-2428 Toll free in FL: 800-372-8792

## Georgia

Lewis A. Massey Secretary of State Office of the Secretary of State Division of Securities and **Business Regulation** Two Martin Luther King, Jr. Drive Suite 802, West Tower Atlanta, GA 30334 404-656-2894 Fax: 404-657-8410

#### Hawaii

Russell H. Yamashita Commissioner of Securities Department of Commerce and Consumer Affairs P.O. Box 40 Honolulu, HI 96810 808-586-2744 808-586-2740 (Securities Enforcement Unit) Fax: 808-586-2733 Toll free in HI: 800-468-4644, ext. 62727

## Idaho

Gavin M. Gee, Director Department of Finance Marilyn Scanlan, Bureau Chief Securities Bureau P.O. Box 83720 Boise, ID 83720-0031 208-334-3684 Fax: 208-332-8098

#### llinois

Director Illinois Securities Department Office of Secretary of State Robert Newtson Lincoln Tower, Suite 200 520 S. Second Street Springfield, IL 62701 217-782-2256 Toll free in IL: 800-628-7937

#### Indiana

Bradley W. Skolnik Securities Commissioner Office of the Secretary of State Securities Division 302 West Washington Room E-111

Indianapolis, IN 46204 317-232-6681 Toll free in IN: 800-223-8791 Fax: 317-233-3675

#### lowa

**Enforcement Section** Securities Bureau Lucas State Office Building 2nd Floor

Des Moines, IA 50319 515-281-4441 Fax: 515-281-6467

#### Kansas

David Brant Securities Commissioner Office of the Securities Commissioner 618 South Kansas Avenue 2nd Floor

Topeka, KA 66603-3804 913-296-3307 Fax: 913-296-6872 Toll free in KA: 800-232-9580

## Kentucky

Larry D. Lander Commissioner of Financial Institutions 477 Versailles Road

Frankfort, KY 40601-3868 502-573-3390 Fax: 502-573-8787

#### Louisiana

Harry C. Stansbury Deputy Commissioner of Securities Securities Commission **Energy Centre** 1100 Poydras Street, Suite

New Orleans, LA 70163 504-568-5515

### Maine

Stephen L. Diamond Securities Administrator Securities Division 21 State House Station Augusta, ME 04333

207-624-8551 Fax: 207-624-8590

## Maryland

Robert N. McDonald Securities Commissioner Office of the Attorney General Division of Securities 200 Saint Paul Place, 20th Floor Baltimore, MD 21202-2020 410-576-6360

Fax: 410-576-6532 TDD: 410-576-6372

#### Massachusetts

William Francis Galvin Secretary of the Commonwealth Securities Division One Ashburton Place, Room 1701 Boston, MA 02108 617-727-3548 Fax: 617-248-0177

Toll free in MA: 800-269-5428

## Michigan

Carl L. Tyson, Director Department of Consumer and Industry Services Corporation, Securities and Land Development Bureau P.O. Box 30054 Lansing, MI 48909-7554 517-334-6212

## Minnesota

Fax: 517-334-6155

David Gruenes Commissioner of Commerce Department of Commerce 133 East Seventh Street St. Paul, MN 55101 612-296-4026 Fax: 612-296-4328 Toll free in MN: 800-657-3602

### Mississippi

Leslie Scott Assistant Secretary of State for **Business Services** P.O. Box 136 Jackson, MS 39205 or 202 North Congress Street Suite 601

Jackson, MS 39201 601-359-6371 Fax: 601-359-2894

#### Missouri

Douglas F. Wilburn Commissioner of Securities P.O. Box 1276 Jefferson City, MO 65102 573-751-4136 Toll free in MO: 800-721-7996 Fax: 573-526-3124

#### Montana

Mark O'Keefe State Auditor & Securities Commissioner Office of the State Auditor Securities Department P.O. Box 4009 Helena, MT 59604 406-444-2040 Fax: 406-444-3497 Toll free in MT: 800-332-6148 TDD: 404-444-3246

## Nebraska

Jack Herstein Assistant Director Department of Banking & Finance Bureau of Securities P.O. Box 95006 Lincoln, NE 68509-5006 402-471-3445

#### Nevada

Donald J. Reis Deputy Secretary of State Office of the Secretary of State Securities Division 555 E. Washington Avenue Suite 5200

Las Vegas, NV 89101 702-486-2440 Fax: 702-486-2452

#### **New Hampshire**

Peter C. Hildreth Director of Securities Bureau of Securities Regulation Department of State State House, Room 204 Concord, NH 03301-4989 603-271-1463 Fax: 603-271-7933

#### New Jersey

Thomas J. Gaynor Bureau Chief Department of Law and Public Safety Division of Consumer Affairs Bureau of Securities P.O. Box 47029 **Newark**, NJ 07101 201-504-3600

Fax: 201-504-3601

#### **New Mexico**

Michael J. Vargon Deputy Director Regulation & Licensing Department Securities Division 725 St. Michaels Drive Santa Fe, NM 87501 505–827–7140 (general information) Fax: 505–984–0617

#### **New York**

Dennis Vacol Attorney General Department of Law Bureau of Investor Protection and Securities 120 Broadway, 23rd Floor **New York**, NY 10271 212–416–8200 Fax: 212–416–8816

#### **North Carolina**

Rufus L. Edmisten
Secretary of State
Department of the Secretary
of State
Securities Division
300 North Salisbury Street
Suite 100
Raleigh, NC 27603–5909

919–733–3924 Fax: 919–821–0818 Toll free: 800–688–4507

## North Dakota

Nancy Lewis Office of the Securities Commissioner 600 East Boulevard **Bismarck**, ND 58505–0510 701–224–2910 Toll free in ND: 800–297–5124 Fax: 701–255–3113

#### Ohio

Tom Geyer Acting Commissioner Division of Securities 77 South High Street 22nd Floor **Columbus**, OH 43215 614–644–7381

614-644-7381 Fax: 614-466-3316

#### Oklahoma

Irving L. Faught Administrator Department of Securities 1st National Center 120 N. Robinson, Suite 860 **Oklahoma City,** OK 73102 405–235–0230 Fax: 405–280–7742

## Oregon

Kerry E. Barnett, Director Cecil Monroe, Administrator Department of Consumer and Business Services Division of Finance & Corporate Securities 350 Winter Street, N.E., Room 21 **Salem,** OR 97310 503–378–4387 (Corporate Securities Section) 503–378–4140 (Finance Section) Fax: 503–378–4178

#### Pennsylvania

Robert M. Lam, Chairman Securities Commission Eastgate Office Building 1010 North 7th Street, 2nd Floor Harrisburg, PA 17102–1410 717–787–8061 Toll free in PA: 800–600–0007 Fax: 717–783–5122

TDD/AT&T Relay Center toll free: 800-654-5984

#### **Puerto Rico**

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Toll free in VA: 800–552–7945
Fax: 804–371–9911
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Toll free in WI: 800–47–CHECK

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TDD: 307-777-5351

## **State Utility Commissions**

State utility commissions regulate consumer service and rates for gas, electricity and a variety of other services within your state. These services include rates for telephone calls and moving household goods. In some states, the utility commissions regulate water and transportation rates. Rates for utilities and ser-

vices provided between states are regulated by the Federal government.

Many utility commissions handle consumer complaints. Sometimes, if a number of complaints are received about the same utility matter, they will conduct investigations.

#### **Alabama**

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TDD: 907-276-4533

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TDD: 602-542-2105

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501-682-1453 Toll free in AR: 800-482-1164

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Fax: 307-777-5700

## **State Vocational and Rehabilitation Agencies**

State vocational and rehabilitation agencies coordinate and provide a number of services for disabled persons. These services can include counseling, evaluation,

training and job placement. There are also services for the sight and hearing impaired. For more information, call or write the office nearest you.

#### Alabama

Lamona H. Lucas, Commissioner Department of Rehabilitation Services P.O. Box 11586

Montgomery, AL 36111-0586 334-281-8780 Toll free in AL: 800-441-7607

### Alaska

Duane French, Director Division of Vocational Rehabilitation 801 W. 10th St., Suite 200 Juneau, AK 99801-1894 Voice/TDD: 907-465-2814 Toll free in AK: 800-478-2815

## **American Samoa**

Peter Galeai, Director Vocational Rehabilitation Department of Manpower Resources

Pago Pago, AS 96799 011-684-633-2336

## Arizona

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602-542-3332

Voice/TDD: 602-542-6049

## Arkansas

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Little Rock, AR 72203 501-296-1616 Toll free in AR: 800-330-0632 Fax: 501-296-1675

TDD: 501-296-6669

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Wilmington, DE 19809-0969 302-761-8300

Voice/TDD: 302-761-8349

Debra Wallace, Director (Acting) Division for the Visually Impaired Department of Health and Social Services 1901 N. DuPont Highway New Castle, DE 19720 302-577-4731

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Tamara Bibb Allen, Director Division of Vocational Rehabilitation Department of Labor and **Employment Security** 20002 Old St. Augustine Road Building A

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Voice/TDD: 904-488-2867

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## Georgia

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## Guam

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Harmon Industrial Park, GU 96911 011-671-475-4645

001-671-475-4635 (consumer information)

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Voice/TDD: 614-438-1210
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Joil Southwell, Administrator Division of Vocational Rehabilitation Department of Human Resources Human Resources Building 500 Summer St. NE **Salem,** OR 97310–1018 503–945–5880

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605-773-4644 TDD: 605-773-5990

#### **Tennessee**

Jack Van Hooser, Assistant Commissioner Rehabilitation Services Department of Human Services Citizens Plaza State Office Bldg., 15th Floor 400 Deaderick Street Nashville, TN 37248-0060 Voice/TDD: 615-313-4714

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Toll free: 800-628-5115

Pat D. Westbrook, Executive Director Texas Commission for the Blind 4800 N. Lamar Blvd. Austin, TX 78756 512–459–2500 Voice/TDD: 512–459–2608 Voice/TDD toll free in TX: 800–252–5204

#### Utah

Blaine Petersen, Executive Director Utah State Office of Rehabilitation 250 East Fifth South Salt Lake City, UT 84111 Voice/TDD: 801-538-7530 Toll free in UT: 800-473-7530

William G. Gibson, Director Services for the Visually Handicapped State Office of Rehabilitation 309 East First South **Salt Lake City,** UT 84111 801–323–4343 Toll free: 800–284–1823

#### Vermont

Diane Dalmasse, Director Vocational Rehabilitation Division 103 South Main Street Waterbury, VT 05671–2303 Voice/TDD: 802–241–2186

Steve Stone, Director Division for the Blind and Visually Impaired 103 South Main Street **Waterbury**, VT 05676 802-241-2210

## Virgin Islands

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## Virginia

John R. Vaughn, Commissioner Department of Rehabilitative Services 4901 Fitzhugh Avenue P.O. Box 11045 **Richmond**, VA 23230 804–367–0316

Voice/TDD: 804-367-0315 Toll free in VA: 800-552-5019 W. Roy Grizzard, Jr., Commissioner Department for the Visually Handicapped 397 Azalea Avenue Richmond, VA 23227 Voice/TDD: 804–371–3140 Toll free in VA: 800–622–2155

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**Voice/TDD: 360-427-2135**Toll free in WA: 800-637-5627

Shirley Smith, Director Department of Services for the Blind 1400 South Evergreen Park Drive P.O. Box 40933 **Olympia**, WA 98504–0933 360–586–1224 Toll free in WA: 800–552–7103 **TDD: 360–586–6437** 

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**Voice/TDD: 304-766-4970**Toll free in WV: 800-642-3021

### Wisconsin

Judy R. Norman–Nunnery, Administrator Division of Vocational Rehabilitation Department of Workforce Development 2917 International Lane, 3rd Floor P.O. Box 7852 Madison, WI 53707–7852 608–243–5600 TDD: 608–243–5601

## Wyoming

Gary W. Child, Administrator Division of Vocational Rehabilitation Department of Employment 1 East Herschler Building **Cheyenne**, WY 82002 307–777–7385

Voice/TDD: 307-777-7389

## **State Weights and Measures Offices**

State Weights and Measures offices enforce laws and regulations about the labeling, weight, measure or count of such packaged items as food and household products. These offices also check the accuracy of weighing and measuring devices, for example, supermarket scales, gasoline pumps, taxicab meters and rental car odometers. Some city and county offices have weights and

measures functions in addition to the state offices listed below. Contact the state office for the appropriate weights and measures office or check your local telephone directory under the government listings for your local weights and measures office. The office might be listed under either the city or county bureau of standards, agriculture or consumer protection.

#### **Alabama**

Steadman Hollis, Director Weights and Measures Division Department of Agriculture P.O. Box 3336

**Montgomery**, AL 36109–0336 334–240–7133

Toll free in AL: 800–321–0018 Fax: 334–240–7193

#### Alaska

Edward Moses, Director Aves D. Thompson, Chief Inspector Weights and Measures Department of Commerce and Economic Development Division of Measurement Standards 12050 Industry Way Huffman Business Park Building O

**Anchorage**, AK 99515 907–345–7750

Toll free in AK: 800-478-7636

#### Arizona

John Hays, Director Department of Weights and Measures 9535 E. Doubletree Ranch Road **Scottsdale**, AZ 85258–5539 602–255–5211 Toll free in AZ: 800–277–6675 Fax: 602–255–1950

## **Arkansas**

James M. Hile, Director Bureau of Standards 4608 West 61st Street **Little Rock**, AR 72209 501–324–9681 Fax: 501–562–7605

#### California

Darrell A. Guensler, Director Division of Measurement Standards Department of Food and Agriculture 8500 Fruitridge Road **Sacramento**, CA 95826 916–229–3000 Fax: 916–229–3026

#### Colorado

David Wallace, Chief Measurements Standards Section Department of Agriculture 3125 Wyandot Street **Denver**, CO 80211 303–866–2845 Fax: 303–866–2836

#### Connecticut

Allan M. Nelson, Director
Weights and Measures Division
Department of Consumer
Protection
State Office Building,
Room 110
165 Capitol Avenue
Hartford, CT 06106
860–566–5230

#### Delaware

Fax: 860-566-7630

Steven Connors, Administrator Office of Weights and Measures Department of Agriculture 2320 South DuPont Highway **Dover**, DE 19901–5515 302–739–4811 Fax: 302–697–6287

## **District of Columbia**

Jeffrey Mason, Chief

Weights and Measures Market Branch Department of Consumer and Regulatory Affairs 1110 U Street, S.E. **Washington**, DC 20020 202–645–6706 Fax: 202–645–6705

Florida
Max Gray, Chief
Bureau of Weights and
Measures
Department of Agriculture and
Consumer Services
3125 Conner Blvd.,
Mail Stop L-29
Building #2
Tallahassee, FL 32399–1650
904–488–9140

Fax: 904-922-6655

## Georgia

Bill Truby, Assistant Commissioner Division of Weights and Measures Department of Agriculture Agriculture Building **Atlanta**, GA 30334 404–656–3605 Toll free in GA: 800–282–5852 Fax: 404–656–9380

#### Hawaii

Masao Hanaoka, Administrator Measurement Standards Department of Agriculture 725 Ilalo Street **Honolulu**, HI 96813–5524

808-586-0886 Fax: 808-586-0889

#### Idaho

James R. Boatman, Chief Bureau of Weights and Measures Department of Agriculture 2216 Kellogg Lane **Boise,** ID 83712 208–332–8690 Fax: 208–334–2170

## Illinois

Sidney A. Colbrook, Manager Bureau of Weights and Measures Department of Agriculture 801 East Sangamon Avenue P.O. Box 19281 Springfield, IL 62794–9281

217–782–3817 Toll Free in IL: 800–582–0468 Fax: 217–524–7801

#### Indiana

Larry Stump, Division Director Weights and Measures Division State Department of Health 1330 West Michigan Street P.O. Box 1964 Indianapolis, IN 46206–1964

317–383–6350 Fax: 317–383–6776

## Iowa

Jerry L. Bane, Bureau Chief Weights and Measures Department of Agriculture and Land Stewardship H.A. Wallace Building **Des Moines**, IA 50319 515–281–5716 Fax: 515–281–6800

#### Kansas

Constantine Cotsoradis, Director Weights and Measures Division Department of Agriculture 2016 South West 37th Street **Topeka**, KS 66611–2570 913–267–4641 Fax: 913–267–2518

#### Kentucky

Vicki Van Hoose, Director Division of Weights and Measures Department of Agriculture 106 West Second Street Frankfort, KY 40601–2882 502–564–4870 Fax: 502–564–5669

## Louisiana

Ronald Harrell, Director Weights and Measures Department of Agriculture P.O. Box 3098 Baton Rouge, LA 70821–3098

504–925–3780 Fax: 504–922–0477

## Maine

David E. Gagnon, Director Quality Assurance and Regulations State House Station 28 **Augusta**, ME 04333–0028 207–287–3841 Fax: 207–287–5576

#### Maryland

Louis E. Straub, Chief Weights and Measures Section Maryland Department of Agriculture 50 Harry S. Truman Parkway **Annapolis**, MD 21401 410–841–5790 Fax: 410–841–2765

#### Massachusetts

Charles H. Carroll
Assistant Director of Standards
Division of Standards
One Ashburton Place
McCormick Building,
Room 1115
Boston, MA 02108

617–727–3480 Fax: 617–727–5705

## Michigan

Edward Heffron, Division Director Department of Agriculture, Food Division Ottawa Building, 4th Floor P.O. Box 30017 **Lansing**, MI 48909 517–373–1060 Fax: 517–373–3333

#### Minnesota

Michael F. Blacik, Director Division of Weights and Measures Department of Public Service 2277 Highway 36 St. Paul, MN 55113–3800

612-639-4010 Fax: 612-639-4014

## Mississippi

William P. Eldridge, Director Weights and Measures Division Department of Agriculture 21 N. Jefferson Street P.O. Box 1609 Jackson, MS 39201 601–354–7077

601–354–7077 Fax: 601–354–6502

#### Missouri

Roy Humphreys, Division Director Weights and Measures Division Department of Agriculture P.O. Box 630 **Jefferson City,** MO 65102–0630 573–751–4316 Fax: 573–751–1784: 573–0281

### Montana

Jack Kane, Bureau Chief Bureau of Weights and Measures Department of Commerce Capitol Station Helena, MT 59620 406–444–3164 Fax: 406–444–4305

#### Nebraska

Steven A. Malone, Director Division of Weights and Measures Department of Agriculture 301 Centennial Mall South, 4th Floor P.O. Box 94757 **Lincoln**, NE 68509 402–471–4292 Fax: 402–471–3252

#### Nevada

Edward M. Hoganson, Supervisor Department of Business and Industry Division of Agriculture Weights and Measures 2150 Frazier Avenue **Sparks**, NV 89431 702–688–1166 Fax: 702–688–2533

## **New Hampshire**

Stephen Taylor, Commissioner Department of Agriculture, Markets and Food Bureau of Weights and Measures P.O. Box 2042 **Concord,** NH 03302–2042 603–271–3700 Fax: 603–271–1109

## **New Jersey**

William J. Wolfe, State Superintendent Office of Weights and Measures 1261 Routes 1 and 9 South **Avenel**, NJ 07001–1647 908–815–4840 Fax: 908–382–5298

## **New Mexico**

Gary West, Director Consumer Services, Dept. 3170 Department of Agriculture P.O. Box 30005 **Las Cruces,** NM 88003–8005 505–646–1616 Fax: 505–646–3303

## **New York**

Ross Andersen,
Assistant Director
Bureau of Weights and
Measures
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1 Winners Circle
Albany, NY 12235
518–457–3452

Fax: 518–457–5693

#### North Carolina

N. David Smith, Director Standards Division Department of Agriculture P.O. Box 27647–Dept. SD **Raleigh,** NC 27611 919–733–3313 Fax: 919–715–0524

#### North Dakota

Alan Moch, Director Division of Testing and Safety State Capitol **Bismarck**, ND 58505–0480 701–328–2413 Fax: 701–328–2410

## Ohio

James C. Truex,
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8995 East Main Street, Bldg. 5
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614–728–6290
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#### Oklahoma

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#### Oregon

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### Pennsylvania

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#### **Puerto Rico**

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## **Rhode Island**

Lynda L. Maurer, Supervising Metrologist Mercantile Division Department of Labor 610 Manton Avenue **Providence**, RI 02909 401–457–1867

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#### **South Dakota**

Michael Mehlhaff, Director Division of Commercial Inspection and Regulation 118 West Capitol **Pierre**, SD 57501–2036 605–773–3697 Fax: 605–773–6631

#### Tennessee

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**Salt Lake City,** UT 84114–6500 801–538–7150 Fax: 801–538–7126

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**St. Croix,** VI 00820 809-773-2226 Fax: 809-778-8250 Toll free: 888-800-3522

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